Attorney Docket **VIGN1110** 

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applican.:

**Brendan Kitts** 

RECEIVED

Serial No.:

09/681397

FEB 1 9 2002

Group Art No.:

2163

Filing Date:

March 29, 2001

**Technology Center 2100** 

Title:

Method of Predicting Behavior of a **Customer at a Future Date and a Data Processing System Readable Medium** 

**Assistant Commissioner of Patents** Washington, D.C. 20231

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on January 9, 2002 in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231

Signature

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the art is enclosed for the convenience of the Examiner.

Furthermore, pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this art is material to patentability of the present application. Applicant respectfully submits that the claims of Applicant's abovereferenced patent is patentably distinguishable from these references.

Applicant believes no fee is due at this time. However, the Commissioner is hereby authorized to charge any fees due, or refund any credit, to Deposit Account No. 50-0456 of Gray Cary Ware & Freidenrich LLP for any fee under 37 C.F.R. §1.17(i).

Respectfully submitted,

**Gray Cary Ware & Freidenrich LLP** 

Attorneys for Applicant

Mark L. Berrier

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FORM PTO 1449 US Department of Commerce					ATTY DOCKET NO.:			SERIAL NO.:		
Patent and Trademark Office					VIGN1110			09/681397		
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					FILING DATE: GROUP ART UNIT:					
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

Kitts, "An Evaluation of Customer Retention and Revenue Forecasting in the Retail Sector: Investigation into

DATE CONSIDERED

the effects of Seasonality, Spending and Method" by DataSage, Inc., October 25, 1999, 63 pages.

Kitts, "RMS Revenue and Retention Forecasting Final Phase Model Specification" by DataSage, Inc.,

C1

C2

C3 C4 January 31, 2000, 16 pages.

EXAM.

**INITIALS** 

**EXAMINER**